

Audit Actions Status - based on Management reports - as at 20/11/2014				Open Red & Amber		Comments	Open Red & Amber Actions			Revised target date compared to original date (for live reds / ambers)				Planned Implementation date		
Department	Audit Review	Main Report Finalised	Assurance level	R	A		On target to Orig Date	Revised Dates agreed	Revised to be agreed	1 to 3 mths	4 to 6 mths	7 to 12 mths	12 mths plus	<3 mths	3 - 6 mths	> 6 mths
Open Spaces	Chingford Golf Course	Aug-10	Amber	0	1	The outstanding amber priority recommendation relates to the arrangements for management of the Golf Course, not tendered for some years. The Director of Open Spaces has advised that following the Head of Visitor Services taking up the post (9 March 2015), a fundamental review of the future of the golf course is being undertaken as part of Open Spaces Service Based Review work. The Senior Management Team will be considering an Opportunity Outline on 13th April with delivery of an options paper planned by August. Options to be considered may include closure, a new contract, outsourcing, cost recovery and this will need to be presented to Members in the autumn for decision. The Open Spaces Director is expecting to deliver a resolution within 12 months.		1				1			1	
Markets and Consumer Protection	Markets Car Parks	Apr-12	Green	0	1	One amber priority recommendation is outstanding in respect of addressing the poor quality of management information available from the car park barrier system at Smithfield. Implementation of the recommendation to address the poor quality of management information available from the car park barrier system at Smithfield was initially delayed by extension of the off-street car park management contract with APCOA until 31st March 2015. Barrier equipment replacement will take place by 1st April 2016 as part of new contract arrangements.		1				1			1	
Community and Children's Services	Holloway Estate Investigation	May-14	N/A		1	This amber priority recommendation relates to the lack of CCTV at the Holloway Estate Office, which has hampered identification of the individual responsible for the suspected theft of a Blackberry and cash from the site safe. We were originally advised that the installation of CCTV was to form part of the capital programme of works for 2014/15. We have since been notified that this has been made part of a project to install and upgrade CCTV on a number of estates with a view to obtaining better value by packaging the work into one contract. As a result the work has been rescheduled and as per the Asset Management Plan it will now be carried out in 2015/16.		1			1				1	
Town Clerk's	Public Relations Office	Jul-14	Green	0	1	This amber priority recommendation relates to the progression of discussions between the PRO and City Procurement regarding print services, continuing to consult them in instances where the value of the expenditure is expected to exceed the thresholds where competitive quotes or tender are required. A major corporate revamp of print services procurement has delayed full implementation of this recommendation and a revised target date of the end of 2015/16 has been agreed in light of the Marketing and PR Category Board's proposed 3-phased approach, as follows: Phase 1 – offers a short term solution allowing the consolidation of a supplier base of several hundred providers to a select list of suppliers to be agreed by the board (at next meeting in April), which will be published on the intranet. Phase 2 – an open tender process will provide a compliant mid-term solution with the aim of awarding contracts before the end of the financial year 15/16 to a limited number of providers for a period of several years, delivering the required level of diversity, service and efficiencies across the organisation. Phase 3 – a wider review of the Print and other Marketing & PR sub categories, including Design, Advertising / Media Buying, Document Management / Mailing, PR and Marketing with a view to developing suitable sourcing strategies, offering a longer term approach to the category as a whole.		1				1			1	
Town Clerk's	Policy & Resources Committee Grants	Oct-14	N/A	0	1	The outstanding amber priority recommendation relates to the development and roll-out of monitoring and reporting procedures in respect of financial support awarded by the Policy and Resources Committee. Guidance has been produced and it is understood that this is due to be presented to the Committee on the 30th April 2015.		1		1			1			
Open Spaces	Fleet Management	Feb-14	Green	0	1	The outstanding amber priority recommendation relates to the development of a departmental strategy for fleet management. It is understood that this will be undertaken by the departmental Transport Coordinating Group through the 2015/16 financial year. The strategy will include performance indicators and a formal vehicle replacement policy.	1								1	
Town Clerk's	Data Quality	Aug-14	Green	0	1	The outstanding amber priority recommendation relates to the need for all departments to ensure that they undertake the policy requirement to provide an annual Chief Officer data quality assurance statement. It is understood from the Head of Corporate Performance and Development that this recommendation has been partially implemented. Emails have yet to be sent to all departments reminding them of this responsibility, the timing of this was pushed back to coincide with the preparation of year-end reports which is where such statements would be expected to be.		1			1		1			
Community and Children's Services	Estate Offices	Nov-14	N/A	0	1	There has been slippage in implementation of the recommendation to discontinue acceptance of cash for shed storage and car parking as chip and pin machines are yet to be rolled out at relevant sites. A revised target date has been agreed to allow further time for agreement of the lease for the terminals.		1				1	1			

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Chamberlains	Assisted Purchasing	Nov-14	Amber	0	1	The outstanding recommendation related to the improvement of performance monitoring is understood to be on target for implementation by 30th April 2015. It is understood that all City Procurement metrics will be refreshed to show simple tracking of performance against targets and that the metrics suggested by Internal Audit will be considered for inclusion.	1								1		
Markets and Consumer Protection	Spitalfields Car Parks	Oct-14	N/A	0	1	The outstanding recommendation related to documentation of the review (and rationale) of car park pricing at New Spitalfields Market is understood to be on target for implementation by 31st December 2015. The timing was determined to allow current lease negotiations to be concluded.	1										1
Markets and Consumer Protection	Local Contract Management	Mar-15	Amber	0	2	The two outstanding amber priority recommendations relate to the undertaking of market testing in respect of a small number of services provided to Smithfield and Billingsgate Market. Both recommendations are understood to be on target for implementation by 30/04/15 and 30/06/15 respectively.	2								1	1	
Culture Heritage and Libraries	Art Gallery Shop	Mar-15	N/A	0	2	The two outstanding recommendations relate to the reconciliation of income banked to CBIS and performance of a year-end reconciliation of stock in hand. A revised target date of 30/04/15 has been agreed for both recommendations.		2			2				2		
Culture Heritage and Libraries	Keats House - Income and Expenditure	Mar-15	N/A	0	2	Revised target dates have been agreed in respect of the two outstanding recommendations related to the maintenance of central records for income (venue hire) and reconciliation of till income to CBIS, as well as strengthening control over use of the till. A revised target implementation date has been agreed for both recommendations of 30/04/15.		2			2				2		
<b>Total</b>				<b>0</b>	<b>16</b>		<b>5</b>	<b>11</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>9</b>	<b>1</b>	<b>6</b>